

Docket No.  
694231/0004  
JJD:RE:PI



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: **Thomas J. Shafron**

Art Unit: **2124**

Serial No.: **09/587,075**

Examiner: **Tuan A. Vu**

Filed: **June 2, 2000**

For: **A METHOD AND SYSTEM FOR MANAGING THE  
RESOURCES OF AN APPLICATION PROGRAM**

Date: **June 18, 2004**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO/SB/08a and b. Applicant notes that for the listed non-patent literature documents wherein a precise publication date was not available, applicant has included what applicant believes to be the best available date, such as, for example, a posted copyright date. A copy of each reference is enclosed for the convenience of the Examiner.

06/23/2004 MBLANCO 00000003 194709 09587075

Certificate of Mailing (37 C.F.R. 1.8)

01 FC:1806 180.00 DA

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450, on JUNE 18, 2004.

Typed or printed name of person signing this certificate:

Signature

Richard Eskew

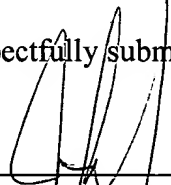
Applicants note that an installable copy of the Alexa Toolbar, copyright 1998, has been included with this IDS on a CD. The examiner is invited to install the Alexa Toolbar software from the CD, or download a version from the site identified in the last entry of page 7 of attached forms PTO/SB/08A and /08B. The CD also contains additional Alexa Toolbar related files (not needed for toolbar installation, but that accompany the download) from which the Examiner can discern the last dates that the files were modified.

It is respectfully requested that the Examiner confirm that such documents have been considered by initialing the appropriate portions of the Information Disclosure Statement by Applicants and returning a copy of that form to the undersigned.

This Information Disclosure Statement is being cited in accordance with 37 C.F.R. § 1.97(d). Authorization to charge the requisite fee under 37 C.F.R. 1.17(p)(\$180) is granted in the accompanying Fee Transmittal for FY 2004 provided herewith. In the event that any additional fee is required, however, the Commissioner is hereby authorized to charge the amount of such fee to the undersigned's Deposit Account No. 19-4709.

Favorable consideration and prompt allowance of this application is respectfully requested. In the event that there are any questions, or should additional information be required, please do not hesitate to contact Applicant's attorney at the number listed below.

Respectfully submitted,



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James J. DeCarlo  
Registration No. 36,120  
Attorney for Applicants  
Stroock & Stroock & Lavan LLP  
180 Maiden Lane  
New York, New York 10038  
212-806-5400

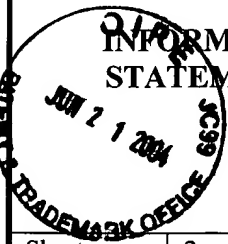
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[illegible]

Examiner Initials	Cite No.	Foreign Patent Documents	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Country Code-Number-Kind Code				
		WO 00/25239-A1	05-04-2000	SHAFRON, Thomas		
		WO 01/95104-A1	12-13-2001	SHAFRON, Thomas		
		WO 03/038554-A2	05-08-2003	SHAFRON, Thomas		
		WO 03/038640-A1	05-08-2003	LU, Qi		

Examiner Signature		DATE CONSIDERED	
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				Art Unit	2124
				Examiner Name	Tuan A. Vu
Sheet	2	of	4	Attorney Docket Number	694231/0001

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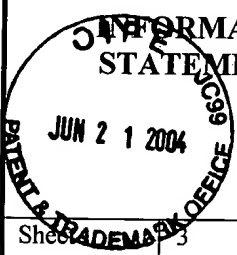
## NON PATENT LITERATURE DOCUMENTS

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Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published	
	✓	"Band Objects", Microsoft Corporation, Copyright 1997.	
	✓	"Browser Extensions", MSDN, Copyright 2004, <a href="http://msdn.microsoft.com/library/default.asp?url=/workshop/browser/ext/overview/overview.asp">http://msdn.microsoft.com/library/default.asp?url=/workshop/browser/ext/overview/overview.asp</a>	
	✓	"Creating Custom Explorer Bars, Tool Bands, and Desk Bands", MSDN, Copyright 2004, <a href="http://msdn.microsoft.com/library/default.asp?url=/library/en-us/shellcc/platform/Shell/programmersguide/shell_adv/bands.asp">http://msdn.microsoft.com/library/default.asp?url=/library/en-us/shellcc/platform/Shell/programmersguide/shell_adv/bands.asp</a>	
	✓	"Developers Get Early IE 5", Wired News, June 11, 1998, <a href="http://www.wired.com/news/print/0,1294,12936,00.html">http://www.wired.com/news/print/0,1294,12936,00.html</a>	
	✓	"Introduction", XUL Programmer's Reference Manual, Last Updated: April 5, 2001, <a href="http://www.mozilla.org/xpfe/xulref/">http://www.mozilla.org/xpfe/xulref/</a>	
	✓	"Netscape 6 Preview Release 1", NT Compatible, April 5, 2000, <a href="http://www.ntcompatible.com/story322.html">http://www.ntcompatible.com/story322.html</a>	
	✓	"Plug-in Guide", DevEdge Online Documentation, January 1998, Chapter 1, <a href="http://developer.netscape.com/docs/manuals/communicator/plugin/index.htm">http://developer.netscape.com/docs/manuals/communicator/plugin/index.htm</a>	
	✓	"Subject: Add toolbar to IE4-taskbar", Google Groups, January 26, 1999, <a href="http://groups.google.com/groups?hl=en&amp;lr=&amp;ie=UTF-8&amp;oe=UTF-8&amp;threadm=36ae2a57.3471779%40news.uni-stuttgart.de&amp;num=9&amp;prev=/groups%3Fhl%3Den%26lr%3D%26ie%3DUTF-8%26oe%3DUTF-8%26q%3Dtoolbar%2Bin%2Bie4">http://groups.google.com/groups?hl=en&amp;lr=&amp;ie=UTF-8&amp;oe=UTF-8&amp;threadm=36ae2a57.3471779%40news.uni-stuttgart.de&amp;num=9&amp;prev=/groups%3Fhl%3Den%26lr%3D%26ie%3DUTF-8%26oe%3DUTF-8%26q%3Dtoolbar%2Bin%2Bie4</a>	
	✓	"Subject: Adding Toolbar (Plugin) in Netscape Communicator", Google Groups, May 18, 2000, <a href="http://groups.google.com/groups?hl=en&amp;lr=&amp;ie=UTF-8&amp;oe=UTF-8&amp;threadm=8gp8t5%241ab1%40secnews.netscape.com&amp;num=23&amp;prev=/groups%3Fq%3Dcustom%2Btoolbars%2Bin%2Bnetscape%2B6%26start%3D20%26hl%3Den%26lr%3D%26ie%3DUTF-8%26oe%3DUTF-8%26selm%3D8gp8t5%25241ab1%2540secnews.netscape.com%26num%3D23">http://groups.google.com/groups?hl=en&amp;lr=&amp;ie=UTF-8&amp;oe=UTF-8&amp;threadm=8gp8t5%241ab1%40secnews.netscape.com&amp;num=23&amp;prev=/groups%3Fq%3Dcustom%2Btoolbars%2Bin%2Bnetscape%2B6%26start%3D20%26hl%3Den%26lr%3D%26ie%3DUTF-8%26oe%3DUTF-8%26selm%3D8gp8t5%25241ab1%2540secnews.netscape.com%26num%3D23</a>	
	✓	"Subject: Custom Toolbar for Netscape Browser", Google Groups, May 15, 2001, <a href="http://groups.google.com/groups?hl=en&amp;lr=&amp;ie=UTF-8&amp;oe=UTF-8&amp;threadm=3B0177FD.BE89042B%40univ.ox.ac.uk&amp;num=2&amp;prev=/groups%3Fhl%3Den%26lr%3D%26ie%3DUTF-8%26oe%3DUTF-8%26q%3Dcustom%2Bnetscape%2B6%2Btoolbar%2B-button%2B-personal">http://groups.google.com/groups?hl=en&amp;lr=&amp;ie=UTF-8&amp;oe=UTF-8&amp;threadm=3B0177FD.BE89042B%40univ.ox.ac.uk&amp;num=2&amp;prev=/groups%3Fhl%3Den%26lr%3D%26ie%3DUTF-8%26oe%3DUTF-8%26q%3Dcustom%2Bnetscape%2B6%2Btoolbar%2B-button%2B-personal</a>	
	✓	"Subject: HOW THE HELL ????", Google Groups, June 6, 2000, <a href="http://groups.google.com/groups?hl=en&amp;lr=&amp;ie=UTF-8&amp;oe=UTF-8&amp;threadm=8hln7u%24fb53%40secnews.netscape.com&amp;num=11&amp;prev=/groups%3Fq%3Dadding%2Btoolbars%2Bin%2Bnetscape%2B6%26start%3D10%26hl%3Den%26lr%3D%26ie%3DUTF-8%26oe%3DUTF-8%26selm%3D8hln7u%2524fb53%2540secnews.netscape.com%26num%3D11">http://groups.google.com/groups?hl=en&amp;lr=&amp;ie=UTF-8&amp;oe=UTF-8&amp;threadm=8hln7u%24fb53%40secnews.netscape.com&amp;num=11&amp;prev=/groups%3Fq%3Dadding%2Btoolbars%2Bin%2Bnetscape%2B6%26start%3D10%26hl%3Den%26lr%3D%26ie%3DUTF-8%26oe%3DUTF-8%26selm%3D8hln7u%2524fb53%2540secnews.netscape.com%26num%3D11</a>	

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> 			Application Number	09/587,075
			Filing Date	June 2, 2000
			First Named Inventor	Thomas J. Shafron
			Art Unit	2124
			Examiner Name	Tuan A. Vu
Sheet 3 of 4	Attorney Docket Number	694231/0004	<b>RECEIVED</b> JUN 23 2004	

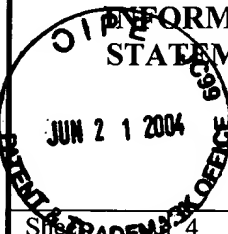
### NON PATENT LITERATURE DOCUMENTS

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Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published	
	✓	"Subject: How to add toolbar like Yahoo companion in IE", Google Groups, September 30, 1999, Messages 1-10, <a href="http://groups.google.com/groups?hl=en&amp;lr=&amp;ie=UTF-8&amp;oe=UTF-8&amp;threadm=OWImH%246C%24GA.227%40cppssbbsa02.microsoft.com&amp;rnum=88&amp;prev=/groups%3Fq%3Dtoolbar%2Bin%2Bie4%26hl%3Den%26lr%3D%26ie%3DUTF-8%26oe%3DUTF-8%26start%3D80%26sa%3DN">http://groups.google.com/groups?hl=en&amp;lr=&amp;ie=UTF-8&amp;oe=UTF-8&amp;threadm=OWImH%246C%24GA.227%40cppssbbsa02.microsoft.com&amp;rnum=88&amp;prev=/groups%3Fq%3Dtoolbar%2Bin%2Bie4%26hl%3Den%26lr%3D%26ie%3DUTF-8%26oe%3DUTF-8%26start%3D80%26sa%3DN</a>	
	✓	"Subject: How to add toolbar like Yahoo companion in IE", Google Groups, September 30, 1999, Messages 11-14, <a href="http://groups.google.com/groups?hl=en&amp;lr=&amp;ie=UTF-8&amp;oe=UTF-8&amp;threadm=7tdbfa%247j9%241%40nnrp1.deja.com&amp;rnum=2&amp;prev=/groups%3Fhl%3Den%26ie%3DUTF-8%26oe%3DUTF-8%26q%3Dtool%2Bband%2Bin%2Bie4%26spell%3D1">http://groups.google.com/groups?hl=en&amp;lr=&amp;ie=UTF-8&amp;oe=UTF-8&amp;threadm=7tdbfa%247j9%241%40nnrp1.deja.com&amp;rnum=2&amp;prev=/groups%3Fhl%3Den%26ie%3DUTF-8%26oe%3DUTF-8%26q%3Dtool%2Bband%2Bin%2Bie4%26spell%3D1</a>	
	✓	"Subject: IE4 Band Objects", Google Groups, March, 22, 1998, <a href="http://groups.google.com/groups?hl=en&amp;lr=&amp;ie=UTF-8&amp;oe=UTF-8&amp;threadm=3524f417.0%40news1.cityweb.de&amp;rnum=1&amp;prev=/groups%3Fhl%3Den%26lr%3D%26ie%3DUTF-8%26oe%3DUTF-8%26q%3D%2522communication%2Bbands%2522%2Bie4">http://groups.google.com/groups?hl=en&amp;lr=&amp;ie=UTF-8&amp;oe=UTF-8&amp;threadm=3524f417.0%40news1.cityweb.de&amp;rnum=1&amp;prev=/groups%3Fhl%3Den%26lr%3D%26ie%3DUTF-8%26oe%3DUTF-8%26q%3D%2522communication%2Bbands%2522%2Bie4</a>	
	✓	"Subject: Plugins for IE", Google Groups, September 30, 2000, <a href="http://groups.google.com/groups?hl=en&amp;lr=&amp;ie=UTF-8&amp;oe=UTF-8&amp;threadm=Ojkp2jxLAHA.243%40cppssbbsa04&amp;rnum=24&amp;prev=/groups%3Fq%3Dplugin%2Bgroup:microsoft.public.inetexplorer.ie4.activex_contrl%26hl%3Den%26lr%3D%26ie%3DUTF-8%26oe%3DUTF-8%26group%3Dmicrosoft.public.inetexplorer.ie4.activex_contrl%26start%3D20%26sa%3DN">http://groups.google.com/groups?hl=en&amp;lr=&amp;ie=UTF-8&amp;oe=UTF-8&amp;threadm=Ojkp2jxLAHA.243%40cppssbbsa04&amp;rnum=24&amp;prev=/groups%3Fq%3Dplugin%2Bgroup:microsoft.public.inetexplorer.ie4.activex_contrl%26hl%3Den%26lr%3D%26ie%3DUTF-8%26oe%3DUTF-8%26group%3Dmicrosoft.public.inetexplorer.ie4.activex_contrl%26start%3D20%26sa%3DN</a>	
	✓	"Subject: Toolbar like IE4", Google Groups, April 23, 1998, <a href="http://groups.google.com/groups?hl=en&amp;lr=&amp;ie=UTF-8&amp;oe=UTF-8&amp;threadm=eyzHG4qb9GA.136%40uppssnewsbpub05.moswest.msn.net&amp;rnum=6&amp;prev=/groups%3Fhl%3Den%26lr%3D%26ie%3DUTF-8%26oe%3DUTF-8%26q%3Dtoolbar%2Bin%2Bie4">http://groups.google.com/groups?hl=en&amp;lr=&amp;ie=UTF-8&amp;oe=UTF-8&amp;threadm=eyzHG4qb9GA.136%40uppssnewsbpub05.moswest.msn.net&amp;rnum=6&amp;prev=/groups%3Fhl%3Den%26lr%3D%26ie%3DUTF-8%26oe%3DUTF-8%26q%3Dtoolbar%2Bin%2Bie4</a>	
	✓	"Subject: Whats the deal with Yahoo Companion? Standard plugin API or not?", Google Groups, January 12, 2000, <a href="http://groups.google.com/groups?hl=en&amp;lr=&amp;ie=UTF-8&amp;oe=UTF-8&amp;threadm=387D225C.E3796E7C%40sympatico.ca&amp;rnum=2&amp;prev=/groups%3Fhl%3Den%26lr%3D%26ie%3DUTF-8%26oe%3DUTF-8%26q%3Dcustom%2Btoolbar%26btnG%3DGoogle%2BSearch%26meta%3Dgroup%253Dnetscape.devs-plugins">http://groups.google.com/groups?hl=en&amp;lr=&amp;ie=UTF-8&amp;oe=UTF-8&amp;threadm=387D225C.E3796E7C%40sympatico.ca&amp;rnum=2&amp;prev=/groups%3Fhl%3Den%26lr%3D%26ie%3DUTF-8%26oe%3DUTF-8%26q%3Dcustom%2Btoolbar%26btnG%3DGoogle%2BSearch%26meta%3Dgroup%253Dnetscape.devs-plugins</a>	

Examiner Signature	DATE CONSIDERED
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Sheet 4	of	4	Attorney Docket Number	694231/0904

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	✓	FLANAGAN, David, "Netscape 6.0 Released", O'Reilly, November 16, 2000, <a href="http://www.oreillynet.com/pub/a/oreilly/news/flanagan3_1100.html">http://www.oreillynet.com/pub/a/oreilly/news/flanagan3_1100.html</a>	
	✓	GALLI, Marcio, "The DevEdge RSS-News Ticker Toolbar", Netscape DevEdge, July 14, 2003, <a href="http://devedge.netscape.com/viewsource/2003/rss-toolbar-ticker/">http://devedge.netscape.com/viewsource/2003/rss-toolbar-ticker/</a>	
	✓	JIMMY, "Netscape 6.0 Preview Release 2 Review - Good Software, Bad Timing", Jimmy's World, August 9, 2000, <a href="http://www.jimmysworld.org/opinions/netscape-6.0-pr2.html">http://www.jimmysworld.org/opinions/netscape-6.0-pr2.html</a>	
	✓	MANN, Alex and HECHT Kevin, "DevEdge Newsgroup FAQ: Client Technical", DevEdge Online Archive, Last Updated: December 15, 1998, <a href="http://developer.netscape.com/support/faqs/champions/client_tech.html">http://developer.netscape.com/support/faqs/champions/client_tech.html</a>	
	✓	MENDELSON, Edward, "Wake Up Your Web Site", PC Magazine, Vol. 17, No. 11, pg. 60(2), 09 June 1998.	
	✓	ROBERTS, Scott, "Programming Microsoft Internet Explorer 5", July 1999, Pages 3-16, 383-423, 461-475, Microsoft Press, USA.	
	✓	SUMMERS, Jason, "Plug-ins Newsgroup FAQ: Plug-ins", DevEdge Online Archive, Last Updated: May 26, 1999, <a href="http://developer.netscape.com/support/faqs/plugins/general.html">http://developer.netscape.com/support/faqs/plugins/general.html</a>	
	✓	Alexa, Alexa Toolbar Version 1.4.1, Copyright 1998, [NOTE: SETUP FILE AVAILABLE ON ENCLOSED CD IN FOLDER ENTITLED "Alexa Setup," also available at <a href="http://ftp3.ru.freebsd.org/pub/pc/windows/WinSock/IE/">http://ftp3.ru.freebsd.org/pub/pc/windows/WinSock/IE/</a> at hyperlink alexaSetup14m.exe.	
	✓	International Preliminary Examination Report for International Application No. PCT/US99/25332, 29 Jul. 2002.	

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